

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re

U.S. Application of: Kazuyuki NISHI, Yuichiro ORI, Tomokazu  
TAGUCHI and Kazunari TADA

For: METHOD FOR FABRICATING A PRISM AND  
METHOD FOR FABRICATING AN OPTICAL  
SYSTEM

U.S. Serial No.: To Be Assigned

Confirmation No.: To Be Assigned

Filed: Concurrently

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

**MAIL STOP PATENT APPLICATION**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

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I hereby certify that this paper or fee is being deposited with the  
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service under 37 C.F.R. § 1.10 on the date indicated above and is  
addressed to MAIL STOP PATENT APPLICATION, Commissioner for  
Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

DERRICK T. GORDON

Name of Person Mailing Paper or Fee



Signature

November 18 2003

Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants  
wish to bring the following items to the attention of the Examiner. A copy of each  
document is enclosed for the convenience of the Examiner.

No representation is made, and no representation is intended, that more relevant  
material does not exist, or that the order of presentation of this material in any way reflects  
its relative pertinence.

The following document is cited in the specification of the application:

- (1) Tsuji et al, U.S. Patent No. 6,005,835, issued December 21, 1999;

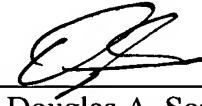
Applicants also wish to bring to the attention of the Examiner the following documents:

- (2) Japanese Unexamined Published Application No. (A) 9-265655,  
(MATSUSHITA ELECTRIC IND CO LTD), published October 7, 1997;  
and
- (3) Japanese Unexamined Published Application No. (A) 2001-118279,  
(RICOH CO LTD), published April 27, 2001.

As this Information Disclosure Statement is being filed concurrently with the new utility application, no fee is incurred. However, if it should be determined that a fee is required, please charge any required fee (other than the issue fee) during the pendency of this application to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260.  
Please credit any overpayment to Deposit Account No. 18-1260.

Respectfully submitted,

By: \_\_\_\_\_

  
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November 18, 2003

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTS**
Sheet **1** of **1**

| <b>Complete if Known</b> |                      |
|--------------------------|----------------------|
| Application Number       | To Be Assigned       |
| Confirmation No.         | To Be Assigned       |
| Filing Date              | Concurrently         |
| First Inventor           | Kazuyuki NISHI et al |
| Group Art Unit           | To Be Assigned       |
| Examiner Name            | To Be Assigned       |

Attorney Docket No. **15162/06290**
**U.S. PATENT DOCUMENTS**

| Examiner Initials | Cite # | DOCUMENT NUMBER | C O D E | PATENTEE    | ISSUE DATE (mm/dd/yy) | CLASS | SUB CLASS | Filing Date if Appropriate |
|-------------------|--------|-----------------|---------|-------------|-----------------------|-------|-----------|----------------------------|
|                   | (1)    | 6,005,835       |         | Tsuji et al | 12/21/99              | 369   | 112       |                            |
|                   |        |                 |         |             |                       |       |           |                            |
|                   |        |                 |         |             |                       |       |           |                            |
|                   |        |                 |         |             |                       |       |           |                            |
|                   |        |                 |         |             |                       |       |           |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials | O F F I C E | NUMBER      | C O D E | PUBLICATION DATE (mm/dd/yy) | TRANSLATION<br>Yes      No |
|-------------------|-------------|-------------|---------|-----------------------------|----------------------------|
|                   | (2) JP      | 9-265655    | A       | 10/07/97                    | ABSTRACT ONLY              |
|                   | (3) JP      | 2001-118279 | A       | 04/27/01                    | ABSTRACT ONLY              |
|                   |             |             |         |                             |                            |
|                   |             |             |         |                             |                            |
|                   |             |             |         |                             |                            |

**NON PATENT LITERATURE DOCUMENTS**

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Initials  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |                 |  |
|                    |  |                 |  |
|                    |  |                 |  |
|                    |  |                 |  |
| Examiner Signature |  | Date Considered |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.